# Finite State Morphology

Alexander Fraser & Liane Guillou {fraser,liane}@cis.uni-muenchen.de

CIS, Ludwig-Maximilians-Universität München

Computational Morphology and Electronic Dictionaries SoSe 2016 2016-05-09

# Outline

- Today we will cover finite state morphology more formally
  - We'll review concepts from the first lecture and from the exercises
  - And define operations in finite state more formally
- We will then show how to convert regular expressions to finite state automata

# Exercise

• Please check the website tomorrow for the exercise location (may also be cancelled)

# Credits

- Credits:
  - Slides mostly adapted from:
  - Finite State Morphology
  - Helmut Schmid
  - U. Tübingen Summer Semester 2015
  - Thanks also to Kemal Oflazer and Lauri Kartunnen

#### **Review: Computational Morphology**

- examines word formations processes
- provides analyses of word forms such as *Tarifverhandlungen: Tarif<NN>verhandeln<V>ung<SUFF><+NN><Fem><Nom><PI>*
- splits word forms into roots and affixes
- provides information on
  - part-of-speech such as NN, V
  - canonical forms such as "verhandeln"
  - morphosyntactic properties such as Fem, Nom, Pl

# Terminology

• word form

word as it appears in a running text: weitergehst

• lemma

citation as listed in a dictionary: weitergehen

• stem

part of a word to which derivational of inflectional affixes are attached: weitergeh

• root

stem which cannot be further analysed: geh

#### • morpheme

smallest morphological units (stems, affixes): weiter, geh, en

# Word Formation Processes

- Inflection
- Derivation
- Compounding

# Inflection

- modifies a word in order to express different grammatical categories such as tense, mood, voice, aspect, person, number, gender, case
- verbal inflection: conjugation walks, walked, walking
- nominal inflection: declension computers
- usually realised by
  - prefixation
  - suffixation
  - circumfixation ge+hab+t
  - infixation auf+zu+machen (not a perfect example)
  - reduplication: orang+orang (plural of "man" in Indonesian)

# Derivation

- creates new words
- Examples: un+translat+abil+ity piti+less-ness
- changes the part-of-speech and/or meaning of the word
- adds prefixes, suffixes, circumfixes
- conversion: changes the part-of-speech without modifying the word book (N) → book (V) leid(en) (V) → Leid (N)
- templatic morphology in Arabic
   ktb + CVCCVC + (a,a) -> kattab (write)

# Compounding

- creates new words by combining several stems
- example: Donau-dampf-schiff-fahrts-gesellschaft
- very productive in German
- affixoid

compounding process that turns into a derivation process Gas+werk, Stück+werk, Laub+werk schul+frei, schulter+frei, schulden+frei

 $\rightarrow$  no absolute boundary between compounding and derivation

# **Classification of Languages**

- isolating: Chinese, Vietnamese little or no derivation and inflection
- analytic: Chinese, English *little or no inflection*
- synthetic
  - agglutinative: Finnish, Turkish, Hungarian, Swahili morphemes are concatenated with little modification each affix usually encodes a single feature
  - fusional (inflecting): Sanskrit, Latin, Russian, German inflectional affixes often encode a feature bundle: les+e (1 sg pres)

# Productivity

- productive process

   new word forms can easily be created
   use+less, hope+less, point+less, beard+less
- unproductive process: morphological process which is no longer active streng+th, warm+th, dep+th

# Morphotactics

#### Which morphemes can be arranged in which order?

translat+abil+ity

\*translat+ity+abil

translat+able

\*translat+able+ity (Allomorphs able-abil)

# Orthographic/Phonological Rules

How is a morpheme realised in a certain context?



# Ingredients of a Morph. Analyser

- List of roots with part-of-speech
- List of derivational affixes
- morphotactic rules
- orthographic (phonological) rules

# **Computational Morphology**

analyses and/or generates word forms

• analysis

Abteilungen → Abteilung<NN><Fem><Nom><Pl> Abteilung<NN><Fem><Acc><Pl>... ab<VPART>teilen<V>ung<NNSuff><Fem><Acc><Pl>... Abtei<NN> Lunge<NN><Fem><Nom><Pl>... Abt<NN> Ei<NN> Lunge<NN><Fem><Nom><Pl>... Abt<NN> eilen<V> ung<NNSuff><Fem><Nom><Pl>...

#### generation

sichern<+V><1><Sg><Pres><Ind>  $\rightarrow$  sichere, sichre

# Implementation

- using a mapping table works reasonably well for languages such as English, Chinese
- algorithmic

more suitable for languages with complex morphology such as Turkish or Czech

finite state transducers

*simple, well understood, efficient, bidirectional (analysis & generation)* 

# Short History

1968 Chomsky & Halle propose ordered context-sensitive rewrite rules

 $x \rightarrow y / w_z$  (replace x by y in the context w ... z)

- 1972 C. Douglas Johnson discovers that ordered rewrite rules can be implemented with a cascade of FSTs if the rules are never applied to their own output
- 1961 Schützenberger proved that 2 sequential transducers (where the output of the first forms the input of the second) can be replaced by a single transducer.
- 1980 Kaplan & Kay rediscover the findings of Johnson and Schützenberger
- 1983 Kimmo Koskenniemi invents 2-level-morphology
- 1987 Karttunen & Koskenniemi implement the first FST compiler based on Kaplan's implementation of the finite-state calculus

#### **Finite State Automaton**

directed graph with labelled transitions, a start state and a set of final states



recognises walk, walks, walked, walking, talk, talks, talked, talking

### Finite State Automaton

FSAs are isomorphic to regular expressions and regular grammars. All of them define a regular language.

regular expression: (w|t)alk(s|ed|ing)? regular grammar:

$S \rightarrow w A$	$B \rightarrow s$	$B \rightarrow$
$S \rightarrow t A$	$B \rightarrow e d$	
$A \rightarrow a \mid k \mid B$	B→ing	

both equivalent to the automaton on the previous slide

### **Finite State Automaton**

FSAs are isomorphic to regular expressions and regular grammars. All of them define a regular language.

regular expression: (w|t)alk(s|ed|ing)?



Both are equivalent to the automaton on the previous slide

# **Operations on FSAs**

- Concatenation A B
- Optionality A? = (|A)
- Kleene's star A\* = (
- Disjunction A | B
- Conjunction A & B
- Complement !A
- Subtraction
- Reversal

$$A^* = (|A|AA|AAA|..$$

$$A - B = A \& !B$$

single symbol a



- Create a new start state and a new end state
- Add a transition from the start to the end state labelled "a"

Concatenation A B



- add epsilon transition from final state of A to start state of B
- make final state of B the new final state

Optionality A?





• add an epsilon transition from start to end state

Kleene' star A\*





- add an epsilon transition from end to start state
- make start state the new end state

Disjunction A B



- new start state with epsilon transitions to the old start states
- new final state with epsilon transitions from the old final states

Reversal



- reverse all transitions
- swap start and end state

#### Conjunction A & B

- I'm skipping the details of conjunction (see the Appendix for the algorithm)
- Basically, we can automatically create a new FSA that essentially runs both acceptors in parallel
- Our new FSA only accepts if both FSAs are in the accept state
- Clearly the FSA A&B then only accepts strings that are in the regular languages accepted by both FSAs (FSA A and FSA B)

# **Properties of FSAs**

• epsilon-free

no transition is labelled with the empty string epsilon

deterministic

epsilon-free and no two transitions originating in the same state have the same label

• minimal

no other automaton has a smaller number of states

# Properties of FSAs II

- We can algorithmically construct a new FSA from the old FSA such that it is:
  - epsilon-free
  - deterministic
  - minimal
- See the Appendix for the algorithms

# **Conclusion: Finite State Acceptors**

- Any regular expression can be mapped to a finite state acceptor
  - However, "regexes" in Perl are misnamed!
    - "Regexes" contain more powerful constructs than mathematical regular expressions
      - For instance /(.+)1/
      - However, these constructs are not used much
    - See EN Wikipedia page on regular expressions, subsection "Regular expressions in programming languages" for details
- We will now move on to finite state transducers

# Finite State Transducers

- FSTs are FSAs whose transitions are labelled with symbol pairs
- They map strings to (sets of) other strings



- maps walk, walks, walked, walking to walk
- and talk, talks, talked, talking to talk (in generation mode)
- can also map walk to walk, walks, walked, walking in analysis mode

# FSTs and Regular Expressions

Single symbol mapping a:b



Operations on FSTs

- Concatenation, Kleene's star, disjunction, conjunction, complement (from FSAs)
- composition A || B
   The output of transducer A is the input of transducer B.
- projection
  - upper language replaces transition label a:b by b:b
  - lower language replaces transition label a:b by a:a

The result corresponds to an automaton

#### **Relations and Transducers**

#### **Regular relation**

{ <ac,ac>, <abc,adc>, <abbc,addc>, <abbbc,adddc>... }

between [a b\* c] and [a d\* c].

"upper language" "lower language"

Finite-state transducer

Regular expression

a:a [b:d]\* c:c



Slide courtesy of Lauri Karttunen

#### **Relations and Transducers**

#### Regular relation

{ <ac,ac>, <abc,adc>, <abbc,addc>, <abbc,addc>... }

#### between [a b\* c] and [a d\* c].

"upper language" "lower language"

#### Finite-state transducer



# Weighted Transducers

- A weighted FST assigns a numerical weight to each transition
- The total weight of a string-to-string mapping is the sum of the weights on the corresponding path from start to end state.
- Weighted FSTs allow disambiguation between different analyses by choosing the one with the smallest (or largest) weight

# Working with FSTs

- FSTs can be specified by means of regular expressions (like FSAs). The translation is performed by a compiler.
- Using the same algorithms as for FSA
  - FSTs can be made epsilon-free in the sense that no transition is labelled with ε:ε (a pair of empty string symbols)
  - FSTs can be made deterministic in the sense that no two transitions originating in the same state have the same label pair
  - FSTs can be minimised in the sense that no other FST which produces the same regular relation with the same input-output alignment is smaller. (There might be a smaller transducer producing the same relation with a different alignment.)
- FSTs can be used in both directions (generation and analysis)

# **FST Toolkits**

Some FST toolkits

- Xerox finite-state tools xfst and lexc well-suited for building morphological analysers
- foma (Mans Hulden) open-source alternative to xfst/lexc
- AT&T tools

weighted transducers for tasks such as speech recognition little support for building morphological analysers

- openFST (Google, NYU) open-source alternative to the AT&T tools
- SFST

open-source alternative to xfst/lexc but using a more general and flexible programming language

#### SFST

- programming language for developing finite-state transducers
- compiler which translates programs to transducers
- tools for
  - applying transducers
  - printing transducers
  - comparing transducers

# SFST Example Session

> echo "Hello\ World\!" > test.fst storing a small test program > fst-compiler test.fst test.a calling the compiler test.fst: 2

> fst-mor test.a reading transducer... finished. analyze> Hello World! Hello World! analyze> Hello World no result for Hello World analyze> q *interactive transducer usage transducer is loaded* 

*input recognised another input not recognised terminate program* 

# SFST Programming Language

Colon operator a:b empty string symbol <> Example: m:m o:i u:<> s:c e:e

identity mapping a (an abbreviation for a:a)
Example: m o:i u:<> s:c e

{abc}:{AB} is expanded to a:A b:B c:<>
Example: {mouse}:{mice}

# Disjunction

John | Mary | James

accepts these three strings and maps them onto themselves

mouse | {mouse}:{mice}
analyses mouse and mice as mouse

note that analysis here maps lower language (mice) to upper language (mouse), i.e., implements lemmatization

Generation goes in the opposite direction

# Multi-Character Symbols

strings enclosed in <...> are treated as a single unit.

{mouse<N><pl>}:{mice}
analyzes mice as mouse<N><pl>

# Multi-Character Symbols

A more complex example:

```
schreib {<V><pres>}:{} (\
    {<1><sg>}:{e} |\
    {<2><sg>}:{st} |\
    {<3><sg>}:{t} |\
    {<1><pl>}:{en} |\
    {<2><pl>}:{t} |\
    {<3><pl>}:{t} |\
```

The backslashes (\) indicate that the expression continues in the next line What is the analysis of schreibst and schreiben?

### Conclusion: Finite State Morphology

- Talked about finite state morphology in a more formal way
- Showed how to convert regular expressions to finite state automata
- Talked about finite state transducers for computational morphology
  - Morphological analysis and generation

• Thank you for your attention

# Appendix

- Details of Conjunction of FSAs
- Algorithms for Determinisation, Composition and Minimisation of FSAs

#### Conjunction A & B

- The new state space Q is the Kartesian product of the old state spaces Q<sub>1</sub> and Q<sub>2</sub>, i.e. Q = {(a,b) | a ∈ Q<sub>1</sub> &b∈Q<sub>2</sub>}
- The new start state is the pair of the old start states.
- The new final state is the pair of the old final states
- A transition labelled a exists from new state (a,b) to new state (c,d) iff a transition labelled a exists from a to c in A and from b to d in B, i.e. (a,b) → (c,d) iff a → c and b → d

# **Determinisation of FSAs**

- The new state set is the powerset of the old state set (set of all subsets).
- The new start state is the epsilon-closure of the old start state (i.e. the start state + all states reachable from it via epsilon transitions)
- There is a transition from state q to r labelled a iff there is a transition labelled a from some old state a in q to some old state b in r.
- The set of final states comprises all states q which contain an old final state a.

# **Composition of FSAs**

- First, make the two FSAs deterministic.
- The new state set is then the Kartesian product of the two old state sets
- The new start state is the pair consisting of the two old start states
- There is a transition from state (a,b) to state (c,d) labelled x:z iff there is some transition labelled x:y from state a to state c and a transition labelled y:z from state b to state d
- The final state set comprises all state pairs (a,b) where both a and b are old final states.

# Minimisation of FSAs

#### Minimisation of A

a simple (but inefficient) minimisation algorithm

- 1. determinise
- 2. reverse
- 3. determinise
- 4. reverse